

attached to Interview Summary



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/005478
				Filing Date	January 12, 1988
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383800013
Sheet	1	of	8		
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date/Date of Patent MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
<p>plw</p> <p>↑</p>		4305059	12/18/1981	Benton	
		4528843	7/9/1985	Freeny, Jr.	
		4529870	7/18/1986	Chaum	
		4734858	3/29/1988	Schlaefly	
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		4891503	1/2/1990	Jewell	
		4922521	5/1/1990	Krikke et al.	
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		4982346	1/1/1991	Girouard et al.	
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		5321751	8/14/1994	Ray et al.	
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		5351186	9/27/1994	Bullock et al.	
		5383113	1/17/1995	Kight et al.	
	5414833	5/8/1995	Hershey et al.		
	5475686	12/12/1995	Bush		
Examiner Signature		<i>Patrice L. Winder</i>			Date Considered 1-8-07

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				Filing Date	January 12, 1998	
				First Named Inventor	Levergood et al.	
				Art Unit	2145	
				Examiner Name	Patrice L. Winder	
Sheet	3	of	8	Attorney Docket Number	432383800013	
FOREIGN DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² - Number ³ - Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
plus		0172670EP	2/26/1986	Technion Research & Development Foundation		
plus		GB 2102606	2/2/1983	National Research Development Corporation		
plus		JP 410191	1/1992			
plus		JP 05-158983	8/25/1993	Omron Corp.		
plus		WO 91/16691	10/31/1991	Jonhig Limited		
plus		WO 93/10503	5/27/1993	Citibank N.A.		
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
plus		PLEADINGS:				
		Amazon.com's Reply in Support of Renewed Motion to Stay				
		Deposition of G. Winfield Treese, dated October 27, 2004.				
		Deposition of Glenn Arthur Hauman with Exhibits (October 28, 2004)				
		Joint Claim Construction Chart (Patent Local Rule 4-501), filed December 27, 2004 with Appendix A				
		Memorandum Opinion dated April 7, 2005				
		Motion to Stay (Renewed) by Amazon.com. (Attachments: # 1 Affidavit # 2 Text of Proposed Order)(Nelson, Justin) (Entered: 04/05/2005)				
		Notice by Amazon.com re Answer to Amended Complaint, Counterclaim Of Rejection Of Claims 1-45 Of U.S. Patent No. 5,708,780				
		Order Denying Amazon's Motion to Stay Proceedings Pending Completion of the Reexamination.				
		Soverain's Disclosure of Asserted Claims and Preliminary Infringement Contentions dated June 3, 2004.				
		Soverain's Opposition to Amazon's Renewed Motion to Stay				
		Soverain's Reply to Amazon.Com's Amended Counterclaims, dated January 14, 2005.				
		Soverain's Reply to Response to Motion re: Motion to Stay				
		Soverain's Answer to Counterclaim (Amazon's Third Amended Counterclaim) by Soverain Software LLC.(Seraphine, Jennifer) (Entered:03/17/2005)				
		Soverain's Fourth Supplemental Responses to Amazon's First Set of Interrogatories (Nos. 1-14) dated March 21, 2005				
		Soverain's Responses to Amazon's First Set of Requests for Admission to Plaintiff Soverain Software (Nos. 1-100) dated March 21, 2005				
		Soverain's Responses to Interrogatory Nos. 22, 23, 26 and 36 of Amazon's Third Set of Interrogatories (Nos. 17-36) dated March 21, 2005				
		Third Supplement to Defendant Amazon's Initial Disclosures, dated March 4, 2005.				
		Transcript of the Markman Hearing Before the Honorable Leonard David United States District Judge, January 6, 2005.				
		VideoTaped Deposition of Andrew Payne dated March 11, 2005.				
		VideoTaped Deposition of Glenn Crocker with Exhibits (March 10, 2005)				
		VideoTaped Deposition of Glenn Trewitt dated January 25, 2005 (2 parts).				
		VideoTaped Deposition of Mark Levergood dated March 8, 2005 (2 Parts).				
		VideoTaped Deposition of Stephen Morris dated March 9, 2005.				
Examiner Signature	Patrice L. Winder				Date Considered	1-8-07

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				Art Unit	2145
				Examiner Name	Patrice L. Winder
Attorney Docket Number	432383600013				
Sheet	4	of	8		
<i>flw</i>				Amazon.com Inc.'s Unopposed Motion for Leave to Amend its Answer to Include Allegations Regarding Stuff.com	
				Amazon.com's Motion for Partial Summary Judgment that claims are indefinite under 35 U.S.C. 112	
				Amazon.com's Motion for Partial Summary Judgment that "14 claims 34-39, 482 claims 17-18 and 35-36, and 780 claims 1, 4, and 22-24 are invalid under 35 U.S.C. 102	
				Declaration of James E. Geringer in Support of Amazon.com, Inc.'s Motion for Leave to Amend its Answer and Counterclaims to Add Stuff.com	
				Deposition of Glenn M. Trewitt with Exhibits (January 25, 2005)	
				Deposition of Joshua Smith with Exhibits (March 2, 2005)	
				Deposition of Michael Lazzaro with Exhibits (March 9, 2005)	
				Deposition of Thomas Soulanille with Exhibits (March 14, 2005)	
				Exhibit 1 of Geringer Declaration: Excerpts of Deposition of Michael Kunlavsky	
				Exhibit 2 of Geringer Declaration: E-mail from Brooks Cyrtter to Mike Kunlavsky (June 14, 1994)	
				Exhibit 3 of Geringer Declaration: Excerpts of Deposition of Richard Roake	
				Exhibit 5 of Geringer Declaration: Excerpts of Deposition of Andrew Payne	
				Exhibit 6 of Geringer Declaration: E-mail from Andrew Payne to Winfield Treese, et al. (June 15, 1994)	
				Exhibit 7 of Geringer Declaration: Excerpts of Deposition of Winfield Treese	
				Exhibit 8 of Geringer Declaration: Amazon.com, Inc.'s (Proposed) fourth Amended Answer, Affirmative Defenses, and Counterclaims to Sovereign Software, LLC's Complaint (Redlined Version)	
				Supplemental Disclosure of Preliminary Invalidity Contentions by Amazon and Gao dated July 28, 2004	
				Videotaped Deposition of Guy Henry Timothy Haskin with Exhibits (March 18, 2005)	
				Videotaped Deposition of Kevin Ming-Wai Kadala Hughes with Exhibits (March 21, 2005)	
				Videotaped Deposition of Michael Kunlavsky with Exhibits (February 22, 2005)	
				Videotaped Deposition of Phillip Hallam-Baker with Exhibits (March 11, 2005)	
				Videotaped Deposition of Robert Allen Olson with Exhibits (March 3, 2005)	
				PUBLICATIONS:	
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				"CompuServe Videotex Network Offers Marketing Research Service, Ad Test," Marketing News, Nov. 25, 1983 p. 21.	
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<i>flw</i>				Burk, et al. "Digital Payment Systems Enabling Security and Observability," Computers & Security, 1989, pp. 399-415.	
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				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383600013
Sheet	5	of	8		
<i>plw</i>				Case Study: The CIRRUS Banking Network; Comm. ACM 8, 28 pp. 767-8078; Aug., 1985.	
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<i>plw</i>				National Westminster Bank Group; "Clearing House Automated Payments System"; pp. 1-28, no data.	
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plw				Needham, Roger M., "Adding Capability Access to Conventional File Servers"; Xerox Palo Alto Research Center, Palo Alto, California; no date.	
				Okamoto et al., "Universal Electronic Cash"; pp. 324-337; 1991.	
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				"Welcome First Time Visitors"	
				"What's New," http://archive.ncsa.uiuc.edu/SDG/Software/Mosaic/Docs/qld-whats-new/whats-new-0284.html	
plw				Archive of WWWorder mailing list (8/18/1994 - 8/13/1994)	
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plus				Adel Pater, I/PRO 2nd WWW Conference, Chicago, IL (10/84) (Presentation)	
				Aronson, Dan, et al., Electronic Mail to multiple recipients of the www-talk list (www-talk@info.cern.ch) on "Access and session control" dated September 15, 1994	
				Batelaan, Butler, Chan; Chen; Evanchick; Hughes; Jen; Jeng; Millett; Rocio; Skoudis; Starace; Stoddard; "An Internet Billing Server Protocol Design" - Carnegie Mellon University 1992	
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Examiner Signature		Patrice L. Winder		Date Considered 1-8-07	

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